# CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being transmitted to the U.S. Patent & Trademark Office in accordance with 37 CFR § 1.6(d) on the date indicated. Name Date RECEIVED CENTRAL FAX CENTER DEC 1 8 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Choy-Pik Chiu & Robert Kay

Filing Date: November 21, 2001

Serial No: 09/990,522

Docket: 097/002

Title: TOLERIZING ALLOGRAFTS OF

PLURIPOTENT STEM CELLS

Art Unit: 1636

Examiner: Quang Nguyen, Ph.D.

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR § 1.97(c)(2)

Commissioner for Patents & Trademarks Washington, D.C. 20231

### Dear Sir:

The information listed in the accompanying form PTO-1449 and provided herewith may be material to examination of this application and is submitted in compliance with the duty of disclosure under 37 CFR § 1.56. The Examiner is requested to make this information of record in the application.

This Information Disclosure Statement is not to be construed as a representation that a full search for relevant information has been made, that all relevant information has been found, or that the information provided with this Statement is considered to be material to patentability of the claimed invention as defined under 37 CFR § 1.56(b).

PATENT 09/859,351 Docket 094/003

This Information Disclosure Statement is being filed after the first Office Action on the merits, pursuant to 37 CFR § 1.197(c)(2). Authorization to charge Deposit Account 07/1139 with the fee therefor under 37 CFR § 1.117(p) is provided in the accompanying fee calculation sheet.

Should any other fees be required for further consideration of the application, the Commissioner is hereby authorized to charge such fees (or credit any overpayment) to the Deposit Account.

Respectfully submitted,

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December 18, 2003

T-139 P.007

F-259

Form 1449	(modified)	Docket: 097/002	U.S.S.N. 09/990,522		
	Information Disclosure Statement By Applicant		Title: Tolerizing Allografts of Pluripotent Stem Cells Inventors: Choy-Pik Chlu, Robert M. Kay		
		Filing Date: November 21, 2001	Group: 1636 Examiner: Q. Nguyen		

### U.S. Patent Documents

Examiner Initial	Ref.	Patent No.	Filing Date	Issue Date	Class/ Subclass	Inventors:	Title:
	FA	6,368,636	Oct 26/99	Apr. 9/02	424/577	Mesenchymal stem cells for prevention and treatment of in responses in transplantation	

## Foreign Patent or Published Foreign Patent Application

Examiner Initial	Ret.	Document No.	Publ. Date	Juris- diction	Title:	Yes Yes	lation No
(NONE)							

### Other Documents

Examiner Initial	Ref.	Author, Title, Date, Source
***	FB	Barber et al. Long-term reults of a controlled prospective stuty with transfucion of donor-specific bone marrow in 57 cadaveric renal allograft recipients. Transplantation 51:70, 1991. (abstract)
	FC	Fontes et al. Bone marrow augmentation of donor-cell chimerism in kidney, liver, heart, and pancras islet transplantation. Lancet 344(8916):151, 1994. (abstract)
·····	FD	Kuhr et al. Tolerance to vascularized kidney grafts in canine mixed hematopoietic chimeras. Transplantation 73:1487, 2002.
	FE	Menaché et al. Autologous skeletal myoblast transplantation for severe postinfarction left ventricular dysfunction. J Am. Coll. Cardiol. 41:1078, 2003.
	FF	Riffe & Mousson. DOnor-derived hematopoietic cells in organ transplantation: A major step toward allograft tolerance? Transplantation 75 Suppl; 3S, 2003.
*	FG	Seung et al. Hematopoietic chimerism and central tolerance created by peripheral-tolerance induction without myeloablative conditioning. J. Clin. Invest. 112:795, 2003.
·	FH	Wekerle et al. Mechanisms of tolerance induction through the treansplantation of donor hematopoletic stem cells: Central versus peripheral tolerance. Transplantation 75 suppl:21S, 2003.
	FI	Xu et al. Characterization and enrichment of cardiomyocytes derived form human embryonic stem cells. Circ. Res. 91:501, 2002.
	FJ	Yao et al. Long-term outcome of fetal cell transplantation on postinfarctoin ventricular remodeling and function. J. Molec. Cell. Cardiol. 35:661, 2003.

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. In lude copy of this form with next communication to applicant. PTO-1449 — Page 1